



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jamie Goins et al.
Appl. No.: 09/967,267
Filed: September 28, 2001
Title: GAMING DEVICE HAVING A GAME WITH A FUNCTIONAL
REFRACTIVE LIGHT DISPLAY
Art Unit: 3711
Examiner: Carmen D. White
Docket No.: 0112300-860

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TECHNOLOGY CENTER R3700

Honorable Commissioner for Patents
Washington, DC 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
5,580,055	December 3, 1996	Hagiwara
4,422,719	December 27, 1983	Orcutt
5,752,881	May 19, 1998	Inoue

FOREIGN PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
GB 2 274 010 A	July 6, 1994	Great Britain
GB 2 028 561 A	March 5, 1980	Great Britain
DE 43 11 018 A1	October 10, 1994	Denmark


DE 4311018 A1 is submitted without a translation. This reference is submitted herewith because it was cited in the Search Report conducted for the corresponding European Application. If the Examiner needs a translation, applicants respectfully request the Examiner contact the undersigned.

OTHER DOCUMENTS

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 

Adam H. Masia

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Chicago, Illinois 60690-1135

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**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**
(Use several sheets if necessary)

PTO Form 1449

Atty Docket No.
0112300-860

Application No.
09/967,267

Applicant
Jamie Goins et al.

Filing Date
September 28, 2001

Group
3711

U.S. PATENT DOCUMENTS

Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	5,580,055	December 3, 1996	Hagiwara			
	4,422,719	December 27, 1983	Orcutt			
	5,752,881	May 19, 1998	Inoue			

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	DE 43 11 018 A1	October 10, 1994	Denmark				

Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	

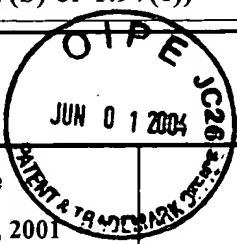
Examiner:

Date Considered:

*Examiner: Initial if citation considered, whether or not citation is in conformance with PEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

3711
41

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 0112300-860	
In Re Application Of: Jamie Goins et al.				
Serial No. 09/967,267	Filing Date September 28, 2001	Examiner Carmen D. White	Group Art Unit 3711	
Title: GAMING DEVICE HAVING A GAME WITH A FUNCTIONAL REFRACTIVE LIGHT DISPLAY				
<p>Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p>37 CFR 1.97(b)</p> <p>1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p>37 CFR 1.97(c)</p> <p>2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p><input checked="" type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p>OR</p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>				



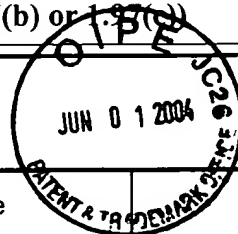
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
0112300-860

In Re Application: Jamie Goins et al.

Serial No.
09/967,267Filing Date
September 28, 2001Examiner
Carmen D. WhiteGroup Art Unit
3711**GAMING DEVICE HAVING A GAME WITH A FUNCTIONAL REFRACTIVE LIGHT DISPLAY****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below.
- ☐ Charge the amount of _____
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I certify that this document and fee is being deposited on May 26, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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***This certificate may only be used if paying by deposit account.**

Signature

Dated: May 26, 2004

Adam H. Masia
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Chicago, IL 60690-1135
Phone: 312-807-4284

CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
112300-860

In Re Application Of: **Jamie Goins et al.**

Serial No.
09/967,267

Filing Date
September 28, 2001

Examiner
Carmen D. White

Group Art Unit
3711

Invention: **GAMING DEVICE HAVING A GAME WITH A FUNCTIONAL REFRACTIVE LIGHT DISPLAY**

TO THE COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.



Signature

Dated: **May 26, 2004**

Adam H. Masia
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Phone: 312-807-4284

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